|  |  |  |
| --- | --- | --- |
|  |  | OTP Use Only  UC Case No.: \_\_\_\_\_\_\_\_\_\_\_\_\_\_  Date accepted: \_\_\_\_\_\_\_\_\_\_\_\_\_\_  Licensing Officer: \_\_\_\_\_\_\_\_\_\_\_\_\_\_ |

**UNIVERSITY OF CALIFORNIA, RIVERSIDE**

**COPYRIGHT DISCLOSURE FORM**

Please fill out this form as completely as possible. If you have questions about it, please contact Nelson Rivera ([nelsonr@ucr.edu](mailto:nelsonr@ucr.edu)) at Technology Commercialization (TC) within the Office of Technology Partnerships (OTP) at 951-827-7941.

1. **TITLE OF THE WORK:**

Give a short descriptive title (less than eight words, if possible) for the work, and indicate below what type of work you have created.

|  |
| --- |
|  |

|  |  |  |  |
| --- | --- | --- | --- |
| Literary work (including software)  **NOTE – if you are disclosing software, please complete Appendix A** | Y | N | Please note that the following is NOT copyrightable:   * Works that have not been fixed in a tangible form of expression (for example, choreographic works that have not been notated or recorded, or improvisational speeches, lectures, or performances that have not been written or recorded) * Titles, names, short phrases, and slogans; familiar symbols or designs; mere variations of typographic ornamentation, lettering, or coloring; mere listings of ingredients or contents * Ideas, procedures, methods, systems, processes, concepts, principles, discoveries, or devices, as distinguished from a description, explanation, or illustration (if you are disclosing one of these, please fill out a **patent disclosure**) * Works consisting entirely of information that is common property and containing no original authorship (for example: standard calendars, height and weight charts, tape measures and rulers, and lists or tables taken from public documents or other common sources) * Please contact our office if you have questions about copyrightability. |
| Musical works, including any accompanying words | Y | N |
| Dramatic works, including any accompanying music | Y | N |
| Pantomimes and choreographic works | Y | N |
| Pictorial, graphic, and sculptural works | Y | N |
| Motion pictures and other audiovisual works | Y | N |
| Sound recordings | Y | N |
| Architectural works | Y | N |
| Mask Work | Y | N |
| Is the work part of a series? | Y | N |
| Is the work a derivative of another work? If Y, please indicate original work below and whether or not you are the owner of the copyright in the original work: | Y | N |

**2. CREATION TIMELINE**

|  |  |  |
| --- | --- | --- |
| **EVENT** | **DATE** | **REDUCED TO TANGIBLE MEDIUM**  (e.g., song, lyrics, paper, film, etc.) |
| Completion of the work and reduction to tangible medium |  |  |

**Was the work\*:**

|  |  |  |
| --- | --- | --- |
| Created within the course and scope of University Employment? | Y | N |
| Created within the scope of a sponsored research agreement or a grant? | Y | N |
| Created as a result of independent academic or scholarly research (that was not pursuant to a sponsored research agreement or a grant)? | Y | N |
| Was the work a deliverable specified in the sponsored research agreement or grant? | Y | N |
| Created outside the course and scope of University Employment | Y | N |

**as defined by UC Copyright Policy, details available here: https://copyright.universityofcalifornia.edu/resources/systemwide-resources.html**

**3. PUBLICATION**

Please note whether any of the following have taken place and if so, when.

|  |  |  |  |  |
| --- | --- | --- | --- | --- |
|  | | | **DATE** | **PLEASE INDICATE JOURNAL, CONFERENCE NAME, PERFORMANCE VENUE, OR OTHER AS APPLICABLE & ATTACH THE DISCLOSED MATERIALS** |
| Has the work been Published? | Y | N |  |  |
| Disclosed to any non-UCR people? | Y | N |  |  |
| Are there upcoming plans for a public disclosure, public performance, or public display? If yes, please provide the estimated date and where it will take place: | | | | |

**4. UCR AUTHORS (please rewrite the title of the work:      \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_)**

List all UC people who contributed **intellectually or creatively** to the work. If the authors are all students or postdocs, please also obtain the signature of your faculty advisor.

|  |  |  |  |
| --- | --- | --- | --- |
| **Name**: | **Position at UCR**: | **Cooperative Extension (CE) Spec**:  **If yes, CE Appointment %:** | **Yes**  **No** |
| **School or Division**: | **Department**: | **Date(s) hired/employed at UCR**: | |
| **Campus Address with zip code**: | **Work Phone:**  **Mobile Phone (optional)**: | **Employee ID #:**  **Citizenship:** | |
| **Home Address**: | | **UCR Email**:  **Other email**: | |
| **Emergency Contact and Address**: | | **Email**:  **Phone**: | |

|  |  |  |  |
| --- | --- | --- | --- |
| **Name**: | **Position at UCR**: | **Cooperative Extension (CE) Spec**:  **If yes, CE Appointment %:** | **Yes**  **No** |
| **School or Division**: | **Department**: | **Date(s) hired/employed at UCR**: | |
| **Campus Address with zip code**: | **Work Phone:**  **Mobile Phone (optional)**: | **Employee ID #:**  **Citizenship:** | |
| **Home Address**: | | **UCR Email**:  **Other email**: | |
| **Emergency Contact and Address**: | | **Email**:  **Phone**: | |

|  |  |  |  |
| --- | --- | --- | --- |
| **Name**: | **Position at UCR**: | **Cooperative Extension (CE) Spec**:  **If yes, CE Appointment %:** | **Yes**  **No** |
| **School or Division**: | **Department**: | **Date(s) hired/employed at UCR**: | |
| **Campus Address with zip code**: | **Work Phone:**  **Mobile Phone (optional)**: | **Employee ID #:**  **Citizenship:** | |
| **Home Address**: | | **UCR Email**:  **Other email**: | |
| **Emergency Contact and Address**: | | **Email**:  **Phone**: | |

**NON-UCR AUTHOR(s):**

List all non-UC people who contributed **intellectually or creatively** to the work.

|  |  |  |
| --- | --- | --- |
| **Name**: | **Position**: | **Relationship with UCR**: |
| **Employer**: | **Work Phone**:  **Mobile Phone (optional)**: | **Work Email**:  **Other email**: |
| **Work Address**:  **Citizenship:** | | |

|  |  |  |
| --- | --- | --- |
| **Name**: | **Position**: | **Relationship with UCR**: |
| **Employer**: | **Work Phone**:  **Mobile Phone (optional)**: | **Work Email**:  **Other email**: |
| **Work Address**:  **Citizenship:** | | |

|  |  |  |
| --- | --- | --- |
| **Name**: | **Position**: | **Relationship with UCR**: |
| **Employer**: | **Work Phone**:  **Mobile Phone (optional)**: | **Work Email**:  **Other email**: |
| **Work Address**:  **Citizenship:** | | |

**5. SPONSORS**

Please identify the sources of support used in making the copyright as funding often carries IP obligations to the sponsor.

|  |  |  |
| --- | --- | --- |
| **SPONSOR(S)** | **CONTRACT/GRANT NUMBER** | **PRINCIPAL INVESTIGATOR** |
|  |  |  |
|  |  |  |
|  |  |  |

**6. MATERIALS & RESOURCES**

**A.** Please note any of the following that were used in making your work.

Material Transfer Agreement (MTA) (e.g., for software or other materials used.)

(specify provider and material:      \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_)

Celera’s proprietary database

Affymetrix chips

CNSI funding or facilities

Other proprietary sources:      \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_

**B.** Please note any of the following that were used in making your work.

Open Source Software (please list):      \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_)

Other software components (please list):      \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_)

Estimate the amount of time and money spent to date developing the copyright:

**C.** Please list any agreement(s) that might affect ANY rights or interest in the copyrightable work. Please attach copies of the agreements, if available.

|  |  |
| --- | --- |
| **NAME AND CONTACT INFORMATION OF OTHER PARTY** | **DESCRIPTION OF AGREEMENT** |
|  |  |
|  |  |

**7. SUMMARY OF THE WORK**

Give a complete description of the work using the sections below. Attach any available manuscripts or illustrations for your work.

1. Provide an overview of your work and its purpose or utility and examples of the types of commercial applications and products your work may lead to.

|  |
| --- |
|  |

1. Describe the stage of development of the copyright (e.g., concept stage, experimental data stage, computer model simulation stage, working prototype stage, complete, etc.).

|  |
| --- |
|  |

**8. POTENTIAL COMMERCIAL PARTNERS**

Please provide the names and addresses of companies, investors, or entrepreneurs you know of that may be interested in commercializing your copyright.

|  |  |
| --- | --- |
| **Company** | **Contact information (name, email & phone number, if available)** |
|  |  |
|  |  |
|  |  |

**9**. **FUTURE PLANS**

What additional plans do you have to further develop the work? Is this part of a series?

|  |
| --- |
|  |

**10. DOES THE WORK DISPLAY A COPYRIGHT NOTICE?**  **Y**  **N**

If yes, reproduce it here.

|  |
| --- |
|  |

**11. ASSIGNMENT**

UNIVERSITY OF CALIFORNIA, RIVERSIDE

Assignment of Copyright Agreement

|  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- |
| This agreement is made and entered into this: |  | (*day*) day of |  | (*month*) |  | (*year*), by and between | |
|  | | | | | | | (*names of authors*), |

hereinafter referred to as “the Authors,” and THE REGENTS OF THE UNIVERSITY OF CALIFORNIA, hereinafter referred to as “the University.”

|  |  |  |
| --- | --- | --- |
| The Authors have prepared copyright work |  | (*title/description*) disclosed in |

the attached University of California, Riverside Copyright Disclosure, hereafter referred to as “the Work.” The Work contains copyright matter that is subject to assignment to the University, under the “University of California Policy on Copyright Ownership, 2021.” The Authors warrant that he/she are the owner of the copyright in the Work and that the Authors’ ownership interest is unencumbered, other than with respect to the rights and licenses granted to the United States Government, if applicable, pursuant to the terms of funding, and that, subject to the rights of the United States Government, the Authors have good and full right and lawful authority to assign, sell and convey the same in the manner herein set forth. And for the same consideration the Authors hereby covenant and agree to sign all papers and documents, take all lawful oaths, and do all acts necessary as required to be done for the procurement, maintenance, enforcement, and defense of such copyright, without charge to the University, but at the cost and expense of the University.

In consideration of the benefits for authors stipulated in the “University of California Policy on Copyright Ownership, 2021,” and other good and sufficient considerations, the Authors hereby assigns to the University all their copyright, title and interest in and to the Work. This assignment applies to the University, its successors and assigns, for and during the existence of the copyright and all renewals and extensions thereof.

The Authors agree to hold harmless and indemnify the University against any claim, demand, suit, action, proceeding, recovery or expense of any nature whatsoever arising from any claim of infringement of copyrights or proprietary rights based upon or arising out of any matter or thing contained in the Work as furnished by the Authors.

Executed this Printed Name Signature of Authors

|  |  |  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- | --- | --- |
|  | day of |  | , |  |  |  |  |  |  |
|  | day of |  | , |  |  |  |  |  |  |
|  | day of |  | , |  |  |  |  |  |  |
|  | day of |  | , |  |  |  |  |  |  |
|  | day of |  | , |  |  |  |  |  |  |
|  | day of |  | , |  |  |  |  |  |  |

**12. AUTHOR SIGNATURES\***

|  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- |
|  |  |  |  |  |  |
| Author Signature |  | Author Printed Name |  | Date Signed |  |
|  |  |  |  |  |  |
| Inventor Signature |  | Inventor Printed Name |  | Date Signed |  |
|  |  |  |  |  |  |
| Inventor Signature |  | Inventor Printed Name |  | Date Signed |  |
|  |  |  |  |  |  |
| Inventor Signature |  | Inventor Printed Name |  | Date Signed |  |

\* Author signatures may be submitted by electronic signature, such as DocuSign, Adobe Sign, iOS, image of signature inserted into signature block. In addition, we will also accept the USPTO approved S-signature, “/First Name Last Name/” (the slashes indicate that you mean your name to be your authorized signature).

**Submit this Copyright Disclosure Form with SIGNATURES by**:

Email

(1) the MS Word copy and (2) a scan pdf of the signed document

To [nelsonr@ucr.edu](mailto:nelsonr@ucr.edu) and our group e-mail at [ipdocs@ucr.edu](mailto:ipdocs@ucr.edu).

If you do not receive an acknowledgment within 10 business days,

please contact Nelson Rivera at [nelsonr@ucr.edu](mailto:nelsonr@ucr.edu).

When completed and signed, this Copyright Report becomes a legal document which may be relied upon during any copyright registration proceedings. Sponsors to whom the University may owe rights in copyright will be notified of this work in confidence by the University.

**Appendix A**

**Software Disclosures**

**(complete only if disclosing software, otherwise leave blank but submit with disclosure)**

**1. RECOMMENDED HARDWARE REQUIREMENTS:**

|  |  |
| --- | --- |
| Computer Manufacturer/Model: |  |
| Internal Memory Requirements: |  |
| Input/Output Devices: |  |
| Other Peripherals: |  |

1. **RECOMMENDED OPERATING SYSTEM REQUIREMENTS:**

|  |  |
| --- | --- |
| Operating System, Version No. |  |
| Compiler: |  |
| Other Software Required: |  |

1. **DOCUMENTATION (For each item identify type of document:**

**[A] HARD COPY; [B] ON-LINE; [C] BOTH; [D] NONE)**

|  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- |
|  | User’s Guide |  | Control Information |  | Sample Problem Input |
|  | Installation Guide |  | Data Libraries |  | Sample Problem Output |
|  | Technical Documentation |  | Illustrations |  |  |

**4. MEDIA:**

|  |  |
| --- | --- |
| Media Type: |  |

|  |  |  |  |  |
| --- | --- | --- | --- | --- |
| Media contains: |  | Source Code |  | Object Code |

(continued on next page)

**5. SOURCE QUESTIONS:**

Please answer **Yes** or **No** to the following questions. If any answers are yes, attach an additional sheet with explanations.

|  |  |  |
| --- | --- | --- |
| Was any of the code written by someone who is not listed as an author (e.g., student performing under direction of faculty)? | Y | N |
| Was any of the code used subject to a prior copyright or patent? | Y | N |
| Has the software been discussed and/or any of the code published in a thesis or article? | Y | N |
| Does the software include creator acknowledgment? | Y | N |
| Does the software include a copyright notice? | Y | N |
| Does the software include a sponsorship acknowledgment? | Y | N |

Complete **list of all third party code** embedded in or accessed by the disclosed software when such software is run. This list must include, without limitation, all open source code, free executable code, public domain code, library code, and all other executable or source code not written by any of the Authors listed in either Section 9 of this Copyright Disclosure Form, whether such code is directly embedded in the software or accessed by the software when it is executed.

|  |  |  |  |
| --- | --- | --- | --- |
| NAME OF THIRD PARTY SOFTWARE OR CODE | WEB PAGE WHERE CODE WAS DOWNLOADED | LOCATION OF THIRD PARTY LICENSE | OPEN SOURCE MODULES USE |
|  |  |  |  |
|  |  |  |  |
|  |  |  |  |
|  |  |  |  |
|  |  |  |  |
|  |  |  |  |
|  |  |  |  |

**6. MARKETING QUESTIONS:**

Describe the audience for whom the item was designed -- who will actually use it? Is the software directed more toward research applications or does it have commercial potential?

|  |
| --- |
|  |

Are there similar items currently in the marketplace?

|  |
| --- |
|  |

Is the software designed to be integrated into a larger application or open source module?

|  |
| --- |
|  |